

# Counting Rational Points

and The

Manin Conjecture

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# Introductory Workshop on Rational and Integral Points on Higher Dimensional Varieties

## Diophantine Geometry

Diophantus of Alexandria

? 4<sup>th</sup> Century a.d.?

Diophantine equations :-

(systems of) polynomial equations  
over  $\mathbb{Z}$  (or  $\mathbb{Q}$ ), to be solved  
over  $\mathbb{Z}$  (or  $\mathbb{Q}$ )

e.g.  $x^n + y^n = z^n$

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As hard as any area of math.

Given  $P$ ,  $\exists F(x_1, \dots, x_n)$

"Can one prove  $P$ "

equivalent to

"Can one solve  $F(x_1, \dots, x_n) = 0$ "

Historically a rag-bag of methods have been used.

Geometric viewpoint - integral/rational points on algebraic varieties.

Geometry over  $\mathbb{Q}$  - c.f. Real Algebraic Geometry

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Counting points :  $V \subseteq \mathbb{A}^n$  or  $\mathbb{P}^n$

defined over  $\mathbb{Q}$ . (Irreducible, degree d)

Height function :

$$P = (x_1, \dots, x_n) \in V(\mathbb{Z}) \subseteq A^n$$

$$h(P) = \max |x_i|$$

$$P \in V(\mathbb{Q}) \subseteq \mathbb{P}^n, \quad P = (x_0, \dots, x_n)$$

$$x_i \in \mathbb{Z}, \quad \text{h.c.f.}(x_0, \dots, x_n) = 1$$

$$h(P) = \max |x_i|$$

$$N_V(B) := \#\{P \in V(\mathbb{Q}): h(P) \leq B\}$$

Behaviour as  $B \rightarrow \infty$ ?

What for?

- ① Intrinsic interest - enhances the link with geometry of  $V$

② Easier problems (?)

Any non-trivial solution to  
 $V: x_0^5 + x_1^5 = x_2^5 + x_3^5 \quad ?$

Estimate  $N_v(B)$

③ Applications elsewhere in  
 number theory.

Waring's Problem. Given  $d \in \mathbb{N}$ , every sufficiently large integer is a sum of at most  $G(d)$   $d$ -th powers.

$G(d) = O(d \log d)$  - Vinogradov

$$V: x_0^d + \cdots + x_{2d-1}^d = x_{2d}^d + \cdots + x_{4d-1}^d$$

If  $N_v(B) = O(B^{3d+\varepsilon})$  for each fixed  $\varepsilon > 0$ , then  $G(d) \leq 4d+1$ .

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Application to the zeros of the Riemann  
Zeta-function

$$V: x_0^h + \cdots + x_{k-1}^h = x_k^h + \cdots + x_{2k-1}^h \quad (1 \leq h \leq l)$$

Application to the class group structure  
for ideals of  $\mathbb{Q}(\sqrt{d})$

$$V: z^k = x^2 - dy^2$$

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What to expect for  $N_v(B)$ ?

$$V: F(x_1, \dots, x_n) = 0 \quad \text{in } \mathbb{A}^n$$

degree d.

$\approx (2B)^n$  choices for  $(x_1, \dots, x_n) = P$

with  $h(P) \leq B$

$F(x_1, \dots, x_n) \in [-cB^d, cB^d]$  for each

P. "Probability of  $F=0$ "  $\approx \frac{1}{2cB^d}$

$\therefore$  "Expected size of  $N_v(B)$ "  $\approx cB^{n-d}$ .

### Heuristic Expectation

$$B^{n-d} \ll N_v(B) \ll B^{n-d}$$

$(V \subseteq A')$

$$B^{n+1-d} \ll N_v(B) \ll B^{n+1-d}$$

$$(V \subseteq P')$$

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 Clearly false if  $d > n$  ( $d > n+1$ ) !

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 Projective Varieties only, from  
 now on.

## Theorem (Birch)

Let  $V \subset \mathbb{P}^n$  be a non-singular hypersurface of degree  $d$ . Then  $\exists c(V)$  s.t.

$$N_V(B) = c(V) B^{n+1-d} + o(B^{n+1-d})$$

providing that  $n \geq (d-1)2^d$ .

Moreover if  $n \geq n_0(d)$  then  $c(V) > 0$ .

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( $d$  odd)

Easy cases

$$d=1: N_V(B) \sim c B^n$$

$d=2: V(\mathbb{Q})$  can be empty, e.g.

if  $V: F(x_0, \dots, x_n) = 0$  with  
 $F$  positive definite.

$V$  non-singular quadratic,  $V(\mathbb{Q}) \neq \emptyset$

then  $N_V(B) \sim c B^{n-1}$  as predicted,

as soon as  $n \geq 2$ : Except when  
 $n=3$ , and  $\det(F) = \square$ , in which  
case  $N_V(B) \sim c B^2 \log B$ .

$$\text{e.g. } x_0 x_1 = x_2 x_3.$$


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$d \geq 3$  - not easy!

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$\dim V = 1$  - curves.

genus zero e.g.  $x_0 x_1^{d-1} - x_2^d$

$P = (a^d, b^d, ab^{d-1})$  with  $|a|, |b| \leq B^{1/d}$

$$\text{hcf}(a, b) = 1$$

$$B^{2/d} \ll N_V(B) \ll B^{2/d}$$

genus 1. If  $V(\mathbb{Q}) \neq \emptyset$ .

$$N_V(\mathbb{Q}) \sim c (\log B)^{r/2} \quad (\text{Néron})$$

$r = \text{rank}$

genus  $\geq 2$ .  $V(\mathbb{Q})$  finite (Faltings)

Consistent with the heuristic only  
for quadric (or linear) curves.

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$\dim V = 2$ , surfaces.

e.g.  $x_0^3 + x_1^3 = x_2^3 + x_3^3$

"trivial" solutions  $(a, b, a, b)$  etc.

$$\therefore N_V(B) \gg B^2$$

c.f.  $B^{n+1-d} = B$

Here  $\{P = (a, b, a, b)\}$  is a line in  $V$ .  
 Generally "trivial solutions" are those  
 on some fixed proper subvariety of  
 $V$ . Exclude these and consider  
 $U$  - a Zariski open subset of  $V$ .

$$N_U(B) := \#\{P \in U(\mathbb{Q}) : h(P) \leq B\}$$

Then, for cubic surfaces, numerical  
 evidence suggests  $n+1-d = 3+1-3 = 1$   
 is the right exponent, if we take  $U$   
 as the complement of the lines in  $V$ .

(Assume  $V$  is not a ruled surface!)

One further complication.

When a cubic surface contains two skew lines defined over  $\mathbb{Q}$  one can parameterize  $V(\mathbb{Q})$ . Not particularly helpful!

But  $N_u(B) \gg B(\log B)^e$

$$\text{e.g. } x_0x_1x_2 = x_3^3$$

some solution  $(a^2b^3, ac^3, d^3, abcd)$

For any  $C$ ,  $B^{1/6} \leq C \leq B^{1/3}$ , take

$$1 \leq a \leq B^{C^{-3}}, \quad 1 \leq b \leq B^{-1/3}C^2$$

$$1/2 \leq c \leq C, \quad 1 \leq d \leq B^{1/3}$$

$$\therefore h(a^2b^3, ac^3, d^3, abcd) \leq B$$

$\approx B$  solutions.

$G_2 < c \leq C$  so disjoint sets

if  $G$  varies over powers of 2

$$\therefore N_u(B) \gg B \log B.$$

$$\text{Full analysis} \sim c B (\log B)^6.$$

Generally, for cases where the method works,

$$N_u(B) \gg B (\log B)^e$$

$e$  depends on the number of lines, and sets of lines, defined over  $\mathbb{Q}$ .

Specifically

$$e = \text{rank } \text{Pic}(V) - 1$$

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Conjecture, for cubic surfaces,  
 $\text{rank } \text{Pic}(V) - 1$   
 $N_u(B) \sim c(V) B (\log B)$

This can be extended to Del Pezzo  
surfaces, and to Fano varieties in  
general.

If  $V$  is Fano ( anticanonical  
divisor is ample ), non-singular,  
complete intersection  $V = W_1 \cap \dots \cap W_t \subseteq \mathbb{P}^n$   
of hypersurfaces,  $\deg(W_i) = d_i$ ,  
with  $d_1 + \dots + d_t \leq n$ , expect  
same asymptotic with  
 $B^{n+1-d_1-\dots-d_t}$

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Non-singular cubic surfaces :-



## Singular cubics

$$X_0 X_1 X_2 = X_3^3$$

$$N_u(B) \sim \frac{B(\log B)^6}{6!} \prod_p \left(1 - \frac{1}{p}\right)^7 \left(1 + \frac{7}{p} + \frac{1}{p^2}\right)$$

Indeed  $B f_6(\log B) + O(B^{1-\delta})$   
de la Bretèche

Constant - general conjecture of Peyre

$$X_1 X_2^2 + X_2 X_0^2 + X_3^3 = 0$$

$$\text{Again } B f_6(\log B) + O(B^{1-\delta})$$

de la Bretèche, Browning  
+ Derenthal

$$X_0 X_1 X_2 + X_0 X_1 X_3 + X_0 X_2 X_3 + X_1 X_2 X_3 = 0$$

$$B(\log B)^6 \ll N_u(B) \ll B(\log B)^6$$

Cayley's cubic - H-B.

                  

Non singular cubic surfaces

$$\text{rank } \text{Pic}(V) - 1$$

$$N_u(B) \gg B(\log B)$$

if  $\exists 2$  skew lines /  $\emptyset$

Slater & Swinnerton-Dyer

                  

$$N_u(B) \ll B^{\sqrt{3} + \varepsilon}$$

any fixed  $\varepsilon > 0$  - Salberger

$$x_0^3 + x_1^3 + x_2^3 + x_3^3 = 0$$

$$N_u(B) \ll B^{4/3 + \varepsilon}$$

any fixed  $\varepsilon > 0$  - H-B.

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## Methods

First step: Geometric/Elementary  
pass to "universal torsor"  
divisibility information.

Thus Cayley cubic  $\Rightarrow$

$$x_i = z_{ij} z_{ik} z_{il} y_j y_k y_l \quad (i, j, k, l \in \{0, 1, 2, 3\} \text{ distinct})$$

$$z_{ij} = z_{ji} \quad 6 \text{ } z's \quad 4 \text{ } y's \quad - \quad 10 \text{ variables}$$

$$\sum_{i=0}^3 y_i z_{ik} z_{il} z_{ke} = 0$$

$$z_{ik} z_{il} y_j + z_{jk} z_{jl} y_i = z_{ij} v_{ij}$$

$$v_{ij} + v_{kl} = 0 \quad (3 \text{ variables})$$

$$v_{ij} v_{ik} = z_{ii}^2 y_j y_k - z_{jk}^2 y_i y_l$$

Second step - Analytic/Elementary  
count solutions of these equations.

These problems bring together  
those who think geometrically  
and those who think analytically.