

### EXERCISES 3

**Exercise 3.1.** Let  $f : X' \rightarrow X$  be a faithfully flat quasi-compact (fpqc) morphism. Using the fact that  $f$  is an effective descent morphism for quasi-coherent sheaves, show that it is an effective descent morphism for relatively affine  $X$ -schemes (i.e., for schemes of the form  $\text{Spec } \mathcal{A}$  where  $\mathcal{A}$  is a quasi-coherent sheaf of algebras over the structure sheaf).

**Exercise 3.2.** Let  $f : X \rightarrow S$  be a smooth morphism of schemes with  $S = \text{Spec}(A)$  affine.

(a) Define a map of sheaves

$$d \log : \mathcal{O}_X^* \rightarrow \Omega_{X/S}^1$$

by sending a local section  $f \in \mathcal{O}_X^*$  to  $f^{-1}df$ . Show that  $d \log$  is a homomorphism. Let

$$c_1 : \text{Pic}(X) = H^1(X, \mathcal{O}_X^*) \rightarrow H^1(X, \Omega_{X/S}^1)$$

be the map obtained by passing to cohomology.

(b) Let  $L$  be a line bundle on  $X$ , and let  $f' : X' \rightarrow S[\epsilon]$  be a smooth lifting of  $X$  to  $S[\epsilon]$ . Recall that this lifting is defined by a class  $c(f') \in H^1(X, T_{X/S})$ . Now consider the pairing

$$\langle \cdot, \cdot \rangle : H^1(X, T_{X/S}) \times H^1(X, \Omega_{X/S}^1) \rightarrow H^2(X, \mathcal{O}_X)$$

induced by the natural pairing

$$T_{X/S} \otimes \Omega_{X/S}^1 \rightarrow \mathcal{O}_X.$$

Show that the obstruction  $o(L) \in H^2(X, \mathcal{O}_X)$  to lifting  $L$  to a line bundle on  $X'$  is equal to  $\langle c_1(L), c(f') \rangle$ .

(c) This can be generalized as follows. Consider a deformation situation  $A' \rightarrow A \rightarrow A_0$  with  $A_0 = k$  a field, and let  $(X, L)/A$  be a smooth  $A$ -scheme with an ample line bundle  $L$ , and let  $(X_0, L_0)$  be the reduction to  $k$ . Assume that there exists a smooth lifting  $X'/A'$  of  $A$  and that the map

$$\langle -, c_1(L_0) \rangle : H^1(X_0, T_{X_0/k}) \rightarrow H^2(X_0, \mathcal{O}_{X_0})$$

is surjective. Then the pair  $(X, L)$  lifts to  $A'$ .

**Exercise 3.3.** We can set up descent theory for schemes in parallel with what we did for quasi-coherent sheaves. (We will go into more detail soon.) In this exercise, we will study various conditions under which descent is effective.

Suppose  $f : X' \rightarrow X$  is an fpqc morphism. Write  $X'' := X' \times_X X'$  and  $p_1$  and  $p_2$  for the two projections. Given an  $X'$  scheme  $Y$ , let  $p_i^*Y$  denote the base change of  $Y$  via the projection  $p_i$ .

(a) Let  $Y \rightarrow X'$  be a separated  $X'$ -scheme along with a descent datum  $\phi : p_1^*Y \xrightarrow{\sim} p_2^*Y$ . Say that an open subscheme  $U \subset Y$  is *stable under*  $\phi$  if the induced map  $\phi|_{p_1^*U}$  gives a descent datum  $p_1^*U \xrightarrow{\sim} p_2^*U$ . Show that if  $Y$  has an open affine cover which is stable under  $\phi$  then  $Y$  descends to  $X$ .

(b) Now suppose  $X$  and  $X'$  are affine and assume that  $Y \rightarrow X'$  is proper and smooth. Using the previous part, show that if the relative canonical sheaf  $\omega_{Y/X'}$  is  $X'$ -ample then any descent datum on  $Y$  is effective.

**Exercise 3.4.** Let  $S = \text{Spec}(R)$  be an affine scheme and  $f : A \rightarrow S$  an abelian scheme over  $S$ . Let  $R' \rightarrow R$  be a surjection of rings with square-zero kernel  $I$ , and let  $o(f) \in H^2(A, \mathcal{O}_A \otimes I)$  be the obstruction to lifting  $A$  to  $S' = \text{Spec}(R')$ . The purpose of this exercise is to show that  $o(f) = 0$ .

(a) Let  $g : B \rightarrow S$  be the abelian scheme  $A \times_S A$ . Show that the two maps

$$i_1, i_2 : H^2(A, T_{A/S} \otimes I) \rightarrow H^2(B, T_{B/S} \otimes I)$$

induced by the projections  $B \rightrightarrows A$  are injective.

(b) Show that the obstruction  $o(g) \in H^2(B, T_{B/S} \otimes I)$  to lifting  $B$  to  $S'$  is given by

$$o(g) = i_1(o(f)) + i_2(o(f)).$$

(c) Define  $\alpha : B \rightarrow B$  to be the automorphism given on scheme-valued points by

$$(x, y) \mapsto (x + y, y).$$

Show that

$$o(g) = \alpha^* o(g) = 2i_1(o(f)) + i_2(o(f)).$$

(d) Deduce that  $i_1(o(f)) = 0$ , and therefore also that  $o(f) = 0$ .

**Exercise 3.5.** Let  $A$  be a ring, with nilpotent ideal  $J$ , and  $u : M \rightarrow N$  a homomorphism of  $A$ -modules, with  $N$  flat over  $A$ . If  $\bar{u} : M/JM \rightarrow N/JN$  is an isomorphism, then  $u$  is an isomorphism.

**Exercise 3.6.** Conclude from the previous exercise that  $M$  is flat over an Artin local ring  $A$  if and only if it is free.

**Exercise 3.7.** Consider a commutative diagram

$$\begin{array}{ccccc}
 N & \xrightarrow{p''} & M'' & & \\
 \downarrow & \searrow p' & \downarrow & \searrow u'' & \\
 & M' & \xrightarrow{u'} & M & \\
 \downarrow & \downarrow & \downarrow & \downarrow & \\
 B & \xrightarrow{\quad} & A'' & & \\
 \downarrow & \searrow & \downarrow & \searrow & \\
 & A' & \xrightarrow{\quad} & A & 
 \end{array}$$

of compatible ring and module homomorphisms, where  $B = A' \times_A A''$ ,  $N = M' \times_M M''$ , and  $M'$  and  $M''$  are flat over  $A'$  and  $A''$ , respectively. Suppose

(i)  $A'' \twoheadrightarrow A$ , with nilpotent kernel,

(ii)  $u'$  induces  $M' \otimes_{A'} A \xrightarrow{\sim} M$ , and similarly for  $u''$ .

(a) Use the local criterion for flatness to show:  $N$  is flat over  $B$ , and  $p'$  induces  $N \otimes_B A' \xrightarrow{\sim} M'$ , and similarly for  $p''$ .

(b) Suppose that with the above notation, we also have a  $B$ -module  $L$  and maps  $q' : L \rightarrow M'$  and  $q'' : L \rightarrow M''$  such that  $q'$  induces  $L \otimes_B A' \xrightarrow{\sim} M'$ . Then the map  $q' \times q'' : L \rightarrow N$  is an isomorphism.

**Exercise 3.8.** Let  $L/K$  be a finite Galois field extension Galois group  $G$ .

(a) Show that there is an isomorphism  $L \otimes_K L \cong \prod_{g \in G} L$  such that the two maps  $L \rightarrow L \otimes_K L$  have the following property: the first is the identity on each factor, while the second maps via the automorphism  $g$  to the factor parametrized by  $g \in G$ . Thus, the statement that

$$K \longrightarrow L \rightrightarrows L \otimes_K L$$

is exact is the same as the statement that the fixed elements of  $L$  under  $G$  are precisely the elements of  $K$ .

(b) Let  $V$  be a vector space over  $L$ . Show that a descent datum for  $V$  is the same as a semilinear  $G$ -action: this means that to each  $g \in G$  is associated a  $K$ -linear map  $\sigma_g : V \rightarrow V$  such that for any  $\alpha \in L$  and  $v \in V$ ,  $\sigma_g(\alpha v) = g(\alpha)\sigma_g(v)$ , and such that  $\sigma_g \circ \sigma_h = \sigma_{gh}$  for all  $g, h \in G$ . Call an  $L$ -vector space with a semilinear  $G$ -action a *semilinear  $G$ -space*. (Note that any  $K$ -vector space  $W$  gives rise to a semilinear  $G$ -space by letting  $g$  act on  $W \otimes L$  via its action on the second tensor factor.)

(c) Show that descent theory for quasi-coherent sheaves on  $\text{Spec } L \rightarrow \text{Spec } K$  is thus equivalent to the following statement: if  $V$  is a semilinear  $G$ -space, then the natural map  $V^G \otimes_K L \rightarrow L$  is an isomorphism of semilinear  $G$ -spaces. (In other words, every semilinear  $G$ -space has a basis consisting of invariant elements.)

**Exercise 3.9.** Let  $X$  be a Noetherian scheme and  $f : Y \rightarrow X$  a finite étale morphism.

(a) Show that any section  $\sigma$  of  $f$  induces a splitting  $Y = Y_1 \sqcup Y_2$  such that each  $Y_i \rightarrow X$  is finite étale and  $\sigma$  is an isomorphism of  $X$  onto  $Y_1$ . (To do this, it is enough to show that  $\sigma$  is an open immersion and a closed immersion. It is a closed immersion because  $f$  is finite and hence separated. To prove that it is an open immersion, note that  $\Omega_{Y/X}^1 = 0$ , so that the diagonal  $\Delta : Y \rightarrow Y \times_X Y$  is a closed and open immersion [use the fact that the ideal sheaf of the diagonal has the property that it equals its square]. Now show that the section  $\sigma$  is the base change of  $\Delta$  by the map  $(\sigma, \text{id}) : X \times_X Y \rightarrow Y \times_X Y$ . This is an example of “reduction to the universal section of  $Y/X$ ” – any section of any base change of  $Y/X$  comes by pullback from the diagonal, which is a section of the base change of  $Y/X$  along  $Y \rightarrow X$ .)

(b) Use part (a) to conclude that there is an étale covering  $U_i \rightarrow X$  such that the pullback of  $Y$  to  $U_i$  is a constant sheaf, i.e., is represented by a disjoint union of copies of  $U_i$ . Thus, finite étale maps are “covering spaces in the étale topology” in the sense that they look formally the same as covering spaces in classical topology.