

MSRI Summer School Lecture #3: Total positivity for the Grassmannian

References: Postnikov preprint (arXiv 2006),  
J. Scott "Grassmannians + Cluster Algebras"

Def: The Grassmannian  $Gr_{k,n}(\mathbb{R}) = \{V: V \subseteq \mathbb{R}^n, \dim V = k\}$   
↑ vector space

Note: Can represent each element of  $Gr_{k,n}(\mathbb{R})$  as a full rank  $k \times n$  matrix  $A$ .

Rows of  $A$  span  $k$ -dim'l subspace of  $\mathbb{R}^n$ .  
 $A \sim A'$  if span the same subspace.

So:  $Gr_{k,n}(\mathbb{R}) = GL_k \setminus \{\text{Full rank } k \times n \text{ matrices}\}$

Given a  $k \times n$  matrix  $A$ , and  $I \in \binom{[n]}{k}$ ,  
 let  $\Delta_I(A)$  denote the  $(k \times k)$  minor of  $A$  which uses column set  $I$ . (Plucker coordinate)

We have Plucker embedding  $Gr_{k,n} \hookrightarrow \mathbb{P}^{\binom{n}{k}-1}$   
 $A \mapsto (\Delta_I(A))_{I \in \binom{[n]}{k}}$

Def: The TN Grassmannian  $(Gr_{k,n})_{\geq 0}$   
 (resp the TP " "  $(Gr_{k,n})_{> 0}$ )  
 is the subset of  $Gr_{k,n}$  that can be represented by full rank  $k \times n$  matrices  $A$  s.t. all  $\Delta_I(A) \geq 0$  (resp  $> 0$ ).

Ex: Let  $A = \begin{pmatrix} 1 & 0 & a & b \\ 0 & 1 & c & d \end{pmatrix}$ ,  $a, b, c, d \in \mathbb{R}$   
 Then  $A \in Gr_{k,n}(\mathbb{R})$ .

In order for  $A$  to lie in  $(Gr_{kn})_{>0}$ , need

$$\Delta_{12}(A) = 1 > 0$$

$$\Delta_{14}(A) = d > 0$$

$$\Delta_{24}(A) = -b > 0$$

$$\Delta_{13}(A) = c > 0$$

$$\Delta_{23}(A) = -a > 0$$

$$\Delta_{34}(A) = ad - bc > 0.$$

Note: The  $2 \times 2$  minors of  $A$  are not all indep. They satisfy a Plucker relation:

$$\Delta_{13} \Delta_{24} = \Delta_{12} \Delta_{34} + \Delta_{14} \Delta_{23}$$

$$c \cdot (-b) = 1 \cdot (ad - bc) + d \cdot (-a)$$

More generally, if  $A \in Gr_{2 \times n}$ , then the minors of  $A$  satisfy the 3-term Plucker relations:

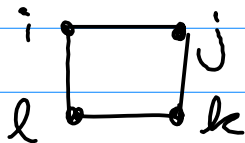
for any  $i < j < k < l$ ,

$$\Delta_{ik} \Delta_{jl} = \Delta_{ij} \Delta_{kl} + \Delta_{il} \Delta_{jk}$$

And if  $A \in Gr_{m \times n}$ , then the minors satisfy: for any  $i < j < k < l$  and  $(m-2)$  subset  $I$  of  $\{1, \dots, n\}$  disjoint from  $\{i, j, k, l\}$ :

$$\Delta_{I \cup \{i, k\}} \Delta_{I \cup \{j, l\}} = \Delta_{I \cup \{i, j\}} \Delta_{I \cup \{k, l\}} + \Delta_{I \cup \{i, l\}} \Delta_{I \cup \{j, k\}}$$

Mnemonic

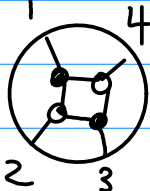


Q: How many minors does one need to test (& which minors) to determine if some  $A \in Gr_{kn}(\mathbb{R})$  lies in  $(Gr_{kn})_{>0}$ ?

Recall: When we were studying  $(SL_n)_{>0}$ , we used the combinatorics of double wiring diagrams to read off sets of chamber minors. Those sets of minors were positivity tests for  $(SL_n)_{>0}$ .

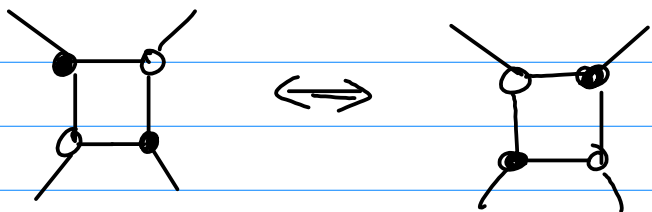
Goal: For  $(Gr_{kn})_{>0}$ , one can do something similar, using plabic graphs.  
(Sort of like double wiring diagrams on a circle.)

Def: A plabic graph is a graph embedded in a disk w/  $n$  boundary vertices labeled  $1, 2, \dots, n$  counterclockwise, s.t. that internal vertices are colored black or white, and boundary vertices have degree 0 or 1.  
Disallow: non-boundary leaves, isolated components.

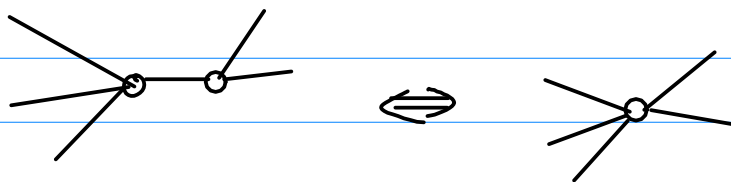


We will define some moves in order to define equivalence classes of plabic graphs:

(M1) Square move



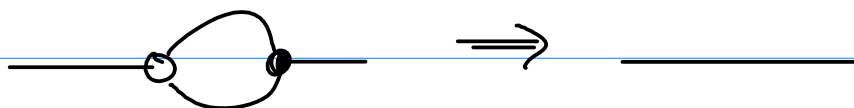
(M2) Unicolored edge (un)contraction



(M3) Middle vertex  
insertion / removal



(R1) Parallel edge  
reduction



Def: Two planic graphs are move-equivalent if they can be obtained from each other using (M1), (M2), (M3)

Def: A planic graph is called reduced if there is no graph in its move equivalence class to which one can apply a reduction.

Analogy: reduced planic graph  $\sim$   
reduced decomposition of a permutation

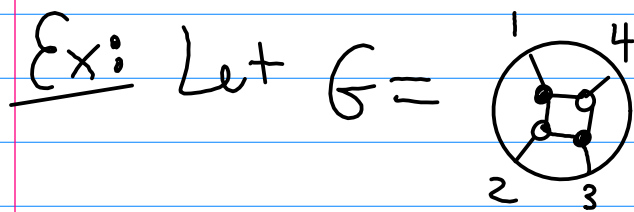
Now: we can assign a permutation to every reduced planic graph  $G$ .

Can also label regions of  $G$  by  $k$ -element subsets of  $\{1, \dots, n\}$ .

Rules of the road:

turn right at a black vertex &  
left at a white vertex.

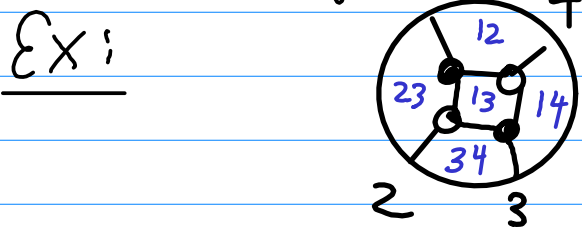
Def/thm: Let  $G$  be reduced planar graph  
 w/  $n$  bdy vertices.  
 For each bdy vertex  $i$ , follow  
 the rules of the road: this  
 produces a trip  $T_i$  from vertex  
 $i$  to some vertex  $\pi(i)$ .  
 Then  $\pi$  is a permutation in  $S_n$ ,  
 called the trip permutation of  $G$ .



Then  $\pi =$

1	2	3	4
↓	↓	↓	↓
3	4	1	2

Algorithm: Each trip  $T_i$  partitions  
 the regions of  $G$  into 2 subsets,  
 those on the left & those on the  
 right of  $G$ . Put an  $i$  in  
 all regions to the left of  $T_i$ .  
 After doing this for all  $i \in \{1, \dots, n\}$ ,  
 every region  $\mathcal{C}$  will contain the same  
 number of  $i$  labels.

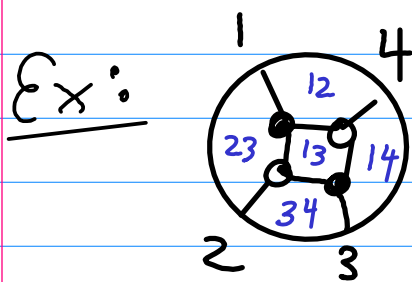


Def: Let  $\pi_{k;n}$  denote the permutation

1	2	3	...	k-1	k	k+1	...	n
↓	↓	↓		↓	↓	↓		↓
n-k+1	n-k+2	n-k+3		n-1	n	1	...	n-k

Theorem: Let  $G$  be a reduced plabic graph whose trip permutation is  $\pi_{k;n}$ . Let  $S = \{ \Delta_I : I \text{ labels a region of } G \}$ . Then  $S$  is a positivity test for  $(Gr_{k;n})_{>0}$ . That is, an element  $A$  of  $Gr_{k;n}(\mathbb{R})$  is in  $(Gr_{k;n})_{>0}$  iff  $\Delta_I(A) > 0$  for each  $I \in S$ .

Rk:  $\mathbb{C}[Gr_{k;n}]$  has structure of cluster algebra (Scott); the sets  $S$  comprise some of the clusters.



Trip perm is  $\pi_{2;4}$ .  
 $S = \{ \Delta_{12}, \Delta_{23}, \Delta_{34}, \Delta_{14}, \Delta_{13} \}$

is a positivity test for  $(Gr_{2;4})_{>0}$ .

Can prove this, since  $\Delta_{13} \Delta_{24} = \Delta_{12} \Delta_{34} + \Delta_{14} \Delta_{23}$ !

How do we find more reduced plabic graphs of type  $\pi_{k;n}$ ? Apply the moves  $M_1, M_2, M_3$ .



Now: what can we say about elements of the TNN Grassmannian (not necessarily in TP part) ?

Let  $M \subseteq \binom{[n]}{k}$ .

The positroid cell  $S_M^{\text{tnn}}$  is the subset of elements  $A$  of  $(Gr_{kn})_{\geq 0}$  s.t.  $\Delta_I(A) > 0$  iff  $I \in M$ .

Thm (Postnikov): Either  $S_M^{\text{tnn}}$  is empty or  $S_M^{\text{tnn}}$  is a cell, i.e. is homeomorphic to an open ball.

One would like to classify the cells of  $S_M^{\text{tnn}}$ , + answer questions like what are their dimensions, when is one contained in closure of the other, what is the homotopy type of  $(Gr_{kn})_{\geq 0}$ , etc...

Thm (P): The cells of  $(Gr_{kn})_{\geq 0}$  are naturally labeled by ( $\sigma$  in bijection with):

- (1) • J-diagrams of type  $(k, n)$ .
- (2) • Decorated permutations  $\pi$  on  $n$  letters w/  $k$  weak excedances
- (3) • equivalence classes of reduced plabic graphs w/ trip perm  $\pi$
- ⋮



Def: a  $\downarrow$ -diagram of type  $(kn)$  is a Young diagram contained in a  $k \times (n-k)$  rectangle where boxes filled w/ 0's and +'s s.t. the following pattern is forbidden

$$\begin{array}{c} + \\ \vdots \\ + \cdots 0 \end{array}$$

Ex:

+	+	0	0	+
+	+	+	+	
0	0	0	+	

Dimension of cell = number of +'s in  $\downarrow$ -diagram.

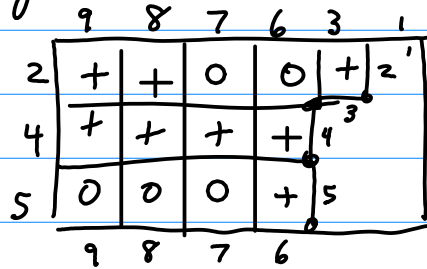
Def: A decorated permutation on  $n$  letters is a permutation where each fixed point is decorated w/ the label "clockwise" or "counterclockwise."

A weak excedance of a dec perm  $\pi$  is a position  $i$  s.t.  $\pi(i) > i$  OR  $\pi(i) = i$  and this is counterclockwise.

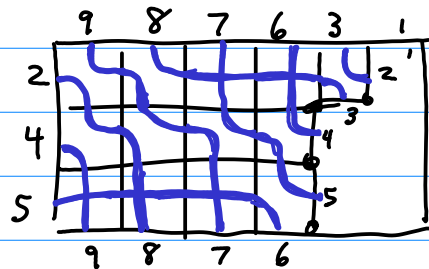
Thm: Cells of  $(\mathcal{G}_{kn})_{\geq 0}$  in bijection w/ dec perms on  $n$  letters w/  $k$  weak excedances.

Bijection from  $\downarrow$ -diagrams of type  $(k, n)$  to dec perms of type  $(k, n)$  (in  $S_n$  w/  $k$  weak excedances)

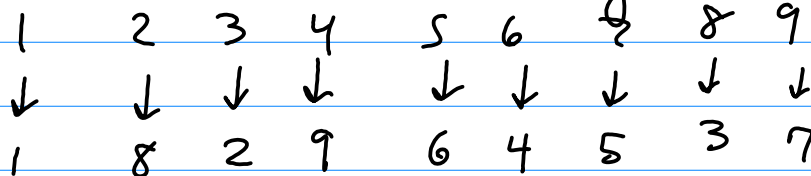
Label border:



Replace + with  $\downarrow$  and 0 with  $\leftarrow$



Follow the pipes from northwest border to southeast border to get a permutation:



Consider a fixed point labeling a column (resp row) to be clockwise (resp counterclockwise),

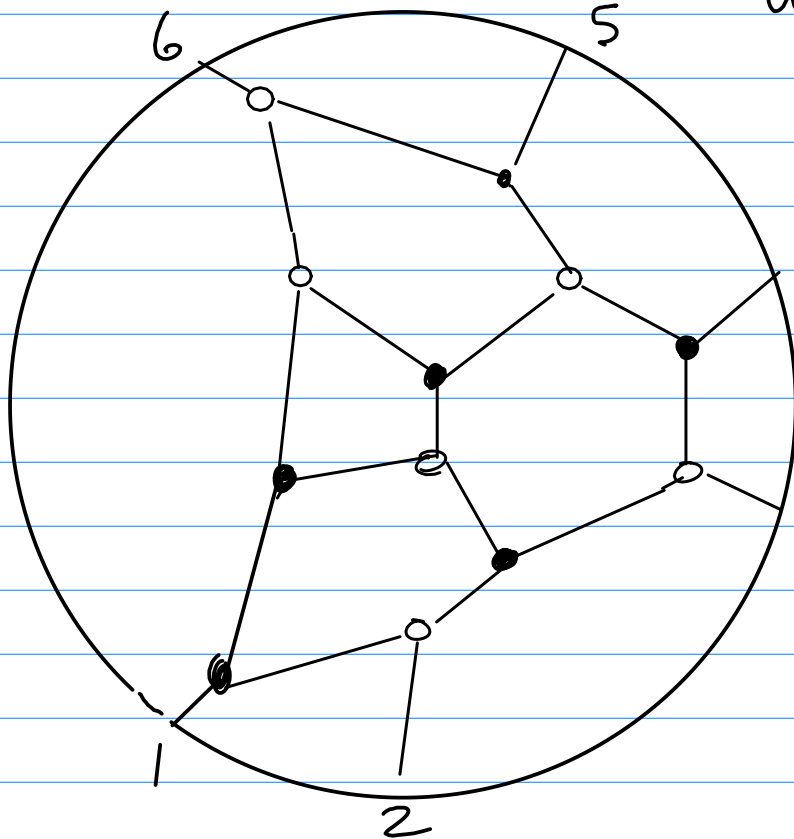
∴ this dec perm is  $\pi = (1; 8, 2, 9, 6, 4, 5, 3, 7)$

Note: Has 3 weak excedances

# Exercises

1. Check that if a reduced plabic graph  $G$  has trip perm  $\pi$ , & we apply a move, the trip perm will be preserved.

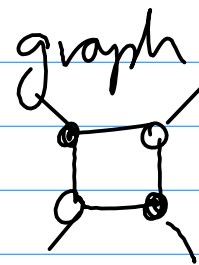
2. Let  $G$  be the following red plabic graph.



What is the trip perm?  
Use  $G$  to find a positivity test for  $(Gr_{3,6})_{>0}$ .

Now apply moves to find some more positivity tests.

3. Consider a reduced plabic graph  $G$ , w/ a local configuration. Apply move  $M_1$ . Can you write down an algebraic relation relating the 2 corresponding positivity tests?



4. Draw all the J-diagrams for cells of  $(Gr_{2,4})_{\geq 0}$

5. Consider  $Gr_{2,n}$ . Draw an  $n$ -gon w/ vertices labeled  $1, \dots, n$  in order. We can identify the minors  $\Delta_{ij}$  w/ diagonals & edges of the  $n$ -gon. Find an algorithm to associate a reduced plabic graph w/ perm  $\pi_{2,n}$  to each triangulation of an  $n$ -gon.