

# Green's Conjecture on Free Resolutions and Canonical Curves

by David Eisenbud

David Hilbert, in his work on Invariants, established a fundamental link between the algebra of polynomial rings and the geometry of complex projective space  $\mathbf{P}^n$ . His Nullstellensatz shows that the correspondence taking an algebraic variety  $X \subset \mathbf{P}^n$  to the ideal  $I_X$  of polynomials vanishing on  $X$  in  $S := \mathbf{C}[x_0, \dots, x_n]$  is one-to-one and onto the set of prime ideals.

Hilbert took another big step in describing a way of getting geometric invariants from the algebra. Let  $H_X(d) = \dim_{\mathbf{C}}(S/I_X)_d$  is the dimension of the vector space of homogeneous polynomials of degree  $d$  modulo those vanishing on  $X$ . Hilbert proved that the function  $H_X(d)$  is equal, for large  $d$ , to a polynomial  $P_X(d)$  in  $d$ . The coefficients of  $P(d)$  are geometrically significant numbers. For example, if  $X$  is a compact Riemann surface then the constant term  $P(0)$  is equal to  $1 - g$ , where  $g$  is the genus (number of holes) of the Riemann surface.

In showing that  $H_X$  is eventually equal to a polynomial, Hilbert defined a much finer invariant, the free resolution of  $S_X := S/I_X$ . The idea is to take a generator (1) for  $S_X$  as a module over  $S$ ; then the relations it satisfies (homogeneous generators  $f_1, \dots, f_s$  for  $I_X$ ); then the module of relations that these satisfy (called syzygies of  $I_X$ : these are vectors  $g_1, \dots, g_s$  such that  $\sum g_i f_i = 0$ ); then the module of syzygies of the syzygies of  $I$  (called second syzygies of  $I$ ); and so on.

If  $I_X$  happens to be generated by 1 polynomial  $f_1$  then  $I_X$  has only trivial syzygies. This would always be the case if  $n = 1$ . Hilbert generalized this remark to arbitrary  $n$  with his "Syzygy Theorem", which I still find astonishing. It (or rather a special case) says that for any  $X$  the  $d$ -th syzygy module of  $I_X$  has only trivial syzygies for  $d \geq n - 1$ . Equivalently, there is a *finite* exact sequence of graded modules

$$\mathbf{F} : \quad 0 \rightarrow F_m \xrightarrow{d_m} \dots \rightarrow F_1 \xrightarrow{d_1} S \rightarrow S_X \rightarrow 0,$$

where each  $F_i$  is a free module over  $S$ , called a free resolution of  $S_X$ .

To prove from this that the Hilbert function  $H_X$  is eventually a polynomial is easy:  $H_X$  is the alternating sum of the Hilbert functions  $H_{F_i}(d) = \dim_{\mathbf{C}}(F_i)_d$ . The module  $F_i$  itself, being free, is a direct sum of copies of  $S$  with generators in various degrees. If we write  $S(-a)$  for the free module of rank 1 with generator in degree  $a$ , then we have  $\dim_{\mathbf{C}}(S(-a))_d = \binom{n-a+d}{n}$ . This binomial coefficient is equal to a polynomial in  $d$  for all  $d \geq a - n$ , proving that the Hilbert function is eventually polynomial (and making it interesting to compute a bound on the degrees  $a$  that occur; but this belongs to another story.)

For a very simple example, consider a linear subspace  $X \subset \mathbf{P}^n$  of codimension 3, defined by the vanishing of  $I_X = (x_0, x_1, x_2)$ . A free resolution of  $S_X = S/(x_0, x_1, x_2)$  has the form

$$0 \rightarrow S(-3) \xrightarrow{\begin{pmatrix} x_0 \\ x_1 \\ x_2 \end{pmatrix}} S(-2)^3 \xrightarrow{\begin{pmatrix} 0 & -x_3 & x_2 \\ x_3 & 0 & -x_1 \\ -x_2 & x_1 & 0 \end{pmatrix}} S(-1)^3 \xrightarrow{\begin{pmatrix} x_0 & x_1 & x_2 \end{pmatrix}} S \rightarrow S_X \rightarrow 0.$$

(This example appears in Hilbert's 1890 paper, and is a special case of what is now called the Koszul complex.) Thus

$$H_X(d) = \binom{n+d}{n} - 3\binom{n-1+d}{n} + 3\binom{n-2+d}{n} - \binom{n-3+d}{n}.$$

If, in computing a resolution, we always choose a *minimal* number of generators at each step, then we get a *minimal free resolution*, of  $S_X$ , and it is not hard to show that this is unique up to isomorphism. In particular, the degrees of the generators of the free modules are determined by  $X \subset \mathbf{P}^n$ , and this collection of numbers gives a finer invariant than the Hilbert function or polynomial. What geometric significance does this invariant have?

The most interesting cases to study are those in which the embedding of  $X$  in  $\mathbf{P}^n$  depends only on the intrinsic geometry of  $X$ , so that the invariants we get will be invariants of that geometry. For example we can use a basis of the holomorphic sections of the cotangent bundle of a Riemann surface  $X$  of genus  $g \geq 3$  to define a canonical map from  $X$  to  $\mathbf{P}^{g-1}$ . This map will be an embedding except in the "degenerate" hyperelliptic case, when  $X$  is a double cover of  $\mathbf{P}^1$ . The degrees that appear in the minimal free resolution of  $S_X$ , when  $X$  is canonically embedded in this way, are thus invariants of the geometry of  $X$ .

Perhaps the most important invariant of a Riemann surface after its genus is its *Clifford index*, a number that measures how special the surface is from the point of view of having low degree mappings to small projective spaces. For example a Riemann surface has Clifford index 0 if it admits a two-to-one mapping to  $\mathbf{P}^1$ ; it has Clifford index  $\leq 1$  if it admits a three-to-one mapping to  $\mathbf{P}^1$  or an embedding in  $\mathbf{P}^2$  as a curve of degree 5. In general, it is a good approximation to the truth to think that a Riemann surface has Clifford index  $\leq c$  if it admits a  $c+2$ -to-one mapping to  $\mathbf{P}^1$ .

Mark Green [1] conjectured that one could read the Clifford index of a Riemann surface  $X$  from the minimal resolution of  $S_X$  when  $X \subset \mathbf{P}^{g-1}$  is canonically embedded. More precisely, if the differentials  $d_2, \dots, d_{t-1}$  are represented by matrices of linear forms but  $d_t$  is not, then the Clifford index  $c(X)$  should be precisely  $t$ . There is an easy geometric reason why  $t \leq c(X)$ , and Schreyer, Voisin, and others proved special cases including all cases for  $g \leq 8$ , but the inequality  $t \geq c(X)$  has remained obscure.

However, there have been two recent breakthroughs in this subject, one by Montserrat Teixidor I Bigas [2], and one by Claire Voisin [3]. Together they show the conjecture is right at least "most of" the time:

**Theorem.** *Except for the case when  $g$  is odd and  $c = (g+3)/2$  the set of Riemann surfaces of genus  $g \geq 3$  and Clifford index  $c$  that satisfy Green's conjecture contains an open set (in the moduli space of such Riemann surfaces.)*

Much more is known about this conjecture than I have been able to indicate here. The introductions to the papers listed above will give a start on the literature. My manuscript-in-progress [0], which will probably appear in the Springer Graduate Texts in Math series in 2003, gives a more extended account of how geometry and syzygies interact.

## References

- [0] David Eisenbud: The Geometry of Syzygies. For an almost-final version of this manuscript, frequently updated, see <http://www.msri.org/people/staff/de/ready.pdf>. I'd be very interested in getting feedback on it before December 2002!
- [1] Mark Green: Koszul cohomology and the geometry of projective varieties. *J. Differential Geom.* 19 (1984), no. 1, 125–171.
- [2] Montserrat Teixidor I Bigas: Green's conjecture for the generic  $r$ -gonal curve of genus  $g \geq 3r - 7$ . *Duke Math. J.* 111 (2002), no. 2, 195–222.
- [3] Claire Voisin: Green's generic syzygy conjecture for curves of even genus lying on a K3 surface. Available at <http://arxiv.org/math.RA/0205330>