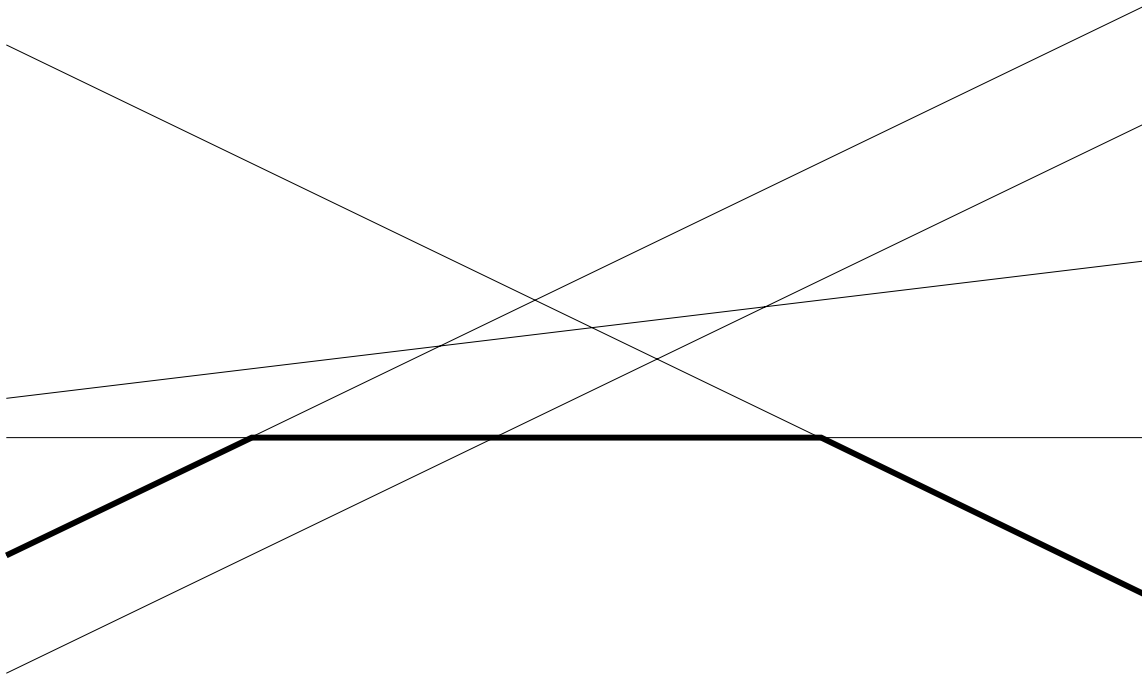


**Monotone paths in line arrangements  
with a small number of directions**

*Adrian Dumitrescu*

University of Wisconsin–Milwaukee

## Arrangement of lines



Arrangement of five lines.

No vertical line.

A monotone path of length three.

Length is number of turns plus one (i.e., the number of edges of the path).

## Background

$\lambda_n$  = the maximum possible length of an  $x$ -monotone polygonal line in an arrangement of  $n$  lines (over all arrangements).

The problem to estimate  $\lambda_n$  was posed in [Edelsbrunner and Guibas, 1989]

An application of this problem can be found in [Yamamoto et. al., 1989]

Related problem: the  $k$ -level (or its dual, the  $k$ -set) problem in the plane. The  $k$ -level is the closure of the set of points of the lines with the property that there are exactly  $k$  lines below them. Estimate the maximum length of the  $k$ -level (over all arrangements).

Note: the  $k$ -level is a monotone path which turns at each vertex on the path.

## Previous results

### *Lower bounds*

[Sharir, 1987]  $\Omega(n^{3/2})$

[Matoušek, 1991]  $\Omega(n^{5/3})$

[Radoičić and Tóth, 2001]  $\Omega(n^{7/4})$

[Balogh et. al., 2003]  $\Omega(n^2/C^{\sqrt{\log n}})$ ,  $C > 1$ .

### *Upper bounds*

[Radoičić and Tóth, 2001]  $\lambda_n < 5n^2/12$ .

Related problem: arrangements of pseudolines.

[Matoušek, 1991]  $\Omega(n^2/\log n)$  lower bound.

**Theorem 1** *Let  $L_k(n)$  be the maximum length of a monotone path in an  $n$ -line arrangement whose lines have at most  $k$  distinct slopes. Then*

(i)  $L_1(n) = 1.$

(ii)  $L_2(n) = n.$

(iii)  $2n - O(\sqrt{n}) \leq L_3(n) \leq 2n + 1.$

(iv)  $L_4(n) = \Theta(n^{3/2}).$

(v)  $L_5(n) = \Theta(n^{5/3}).$

(vi)  $L_6(n) = O(n^{9/5}).$

(vii)  $L_7(n) = O(n^{15/8}).$

(viii) *For any  $k \geq 4$ ,  $L_k(n) \leq 25 \cdot k \cdot n^{2 - \frac{1}{F_{k-2}}}$ , where  $F_k$  is the  $k$ -th Fibonacci number.*

The Fibonacci numbers are defined by the recurrence:  $F_0 = 1$ ,  $F_1 = 1$ ,  $F_i = F_{i-1} + F_{i-2}$ , for  $i \geq 2$ .

## Fibonacci numbers

$$F_0 = 1$$

$$F_1 = 1$$

$$F_2 = 2; L_4(n) = \Theta(n^{2-1/F_2}) = \Theta(n^{3/2}).$$

$$F_3 = 3; L_5(n) = \Theta(n^{2-1/F_3}) = \Theta(n^{5/3}).$$

$$F_4 = 5; L_6(n) = O(n^{2-1/F_4}) = O(n^{9/5}).$$

$$F_5 = 8$$

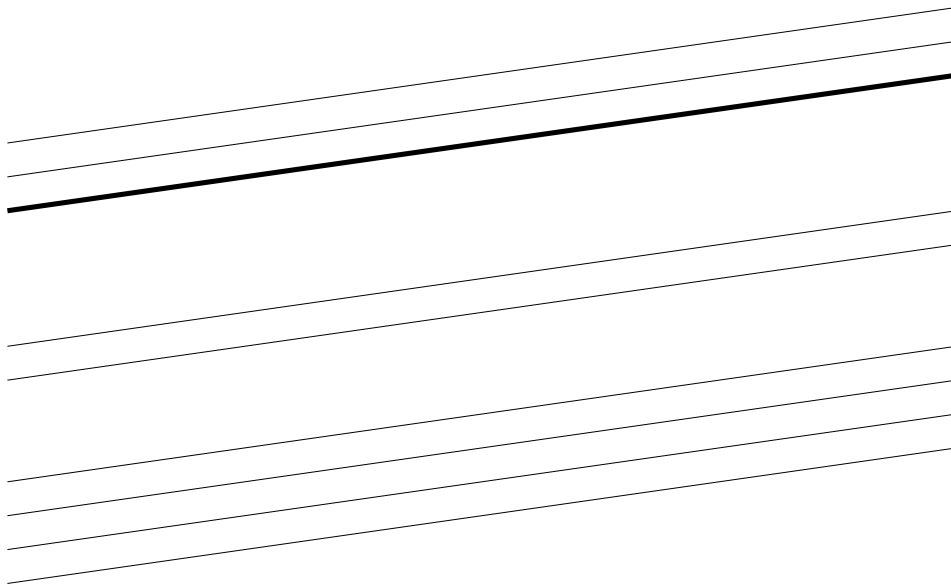
$$F_6 = 13$$

$$F_7 = 21$$

$$F_8 = 34$$

⋮

## One slope

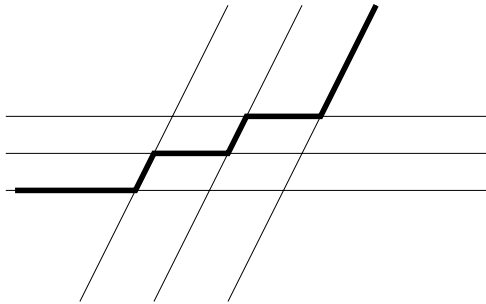


Arrangement of parallel lines.

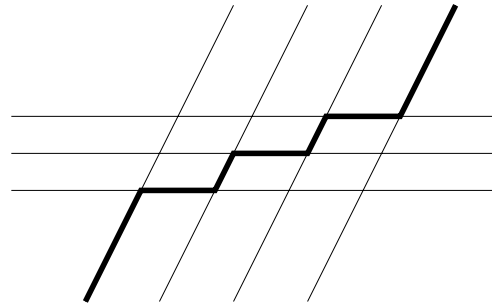
$$L_1(n) = 1$$

Having more lines does not help!

## Two slopes



n: even

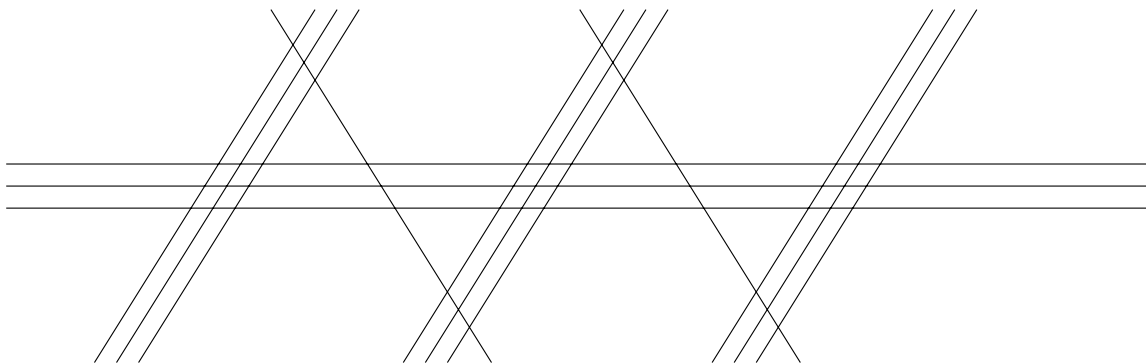


n: odd

Arrangement of lines with two slopes which admits a monotone path of length  $n$ .



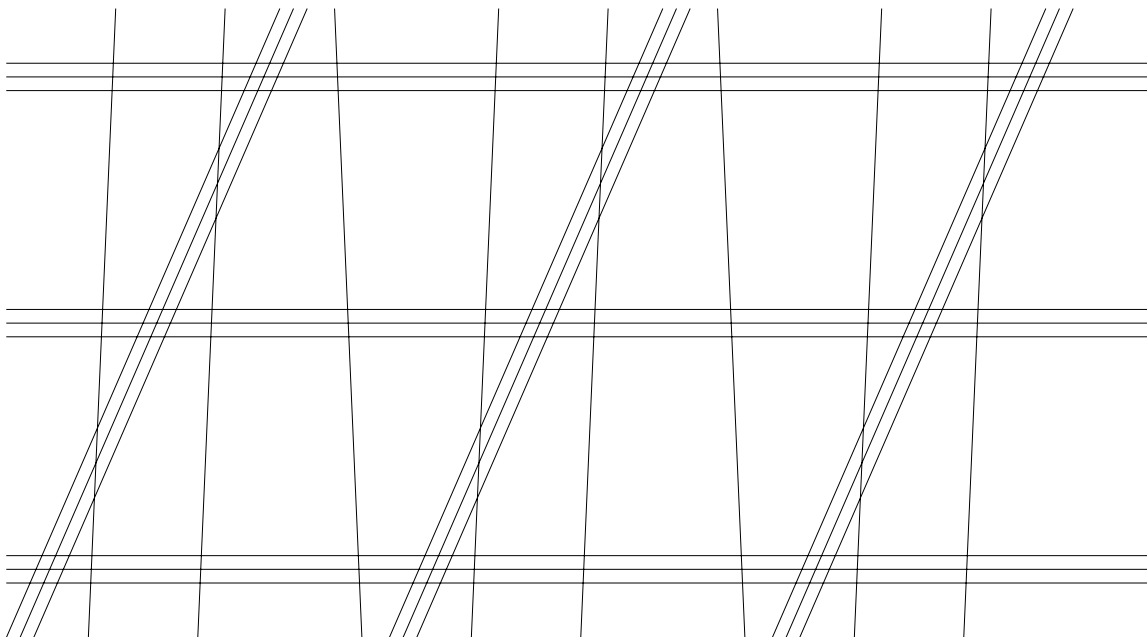
## Three slopes



Arrangement of lines with three slopes which admits a monotone path of length  $2n - O(\sqrt{n})$ . It consists of: a bundle of  $m$  horizontal lines,  $m$  bundles of  $m$  lines each, having slope of 1, and  $m - 1$  lines of negative slope (say  $-1$ ).

$n = m^2 + 2m - 1$ ; monotone path of length  $2m^2 + m - 1$ ;  $m = 3$  in this example.

## Four slopes



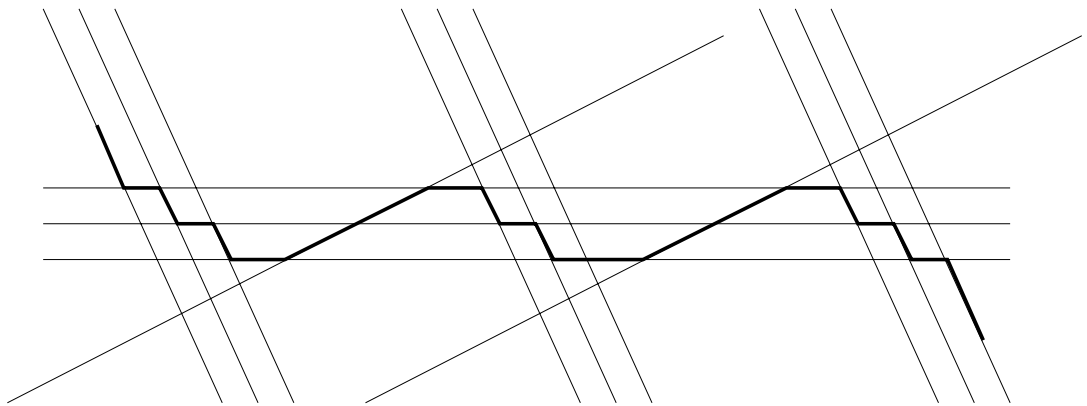
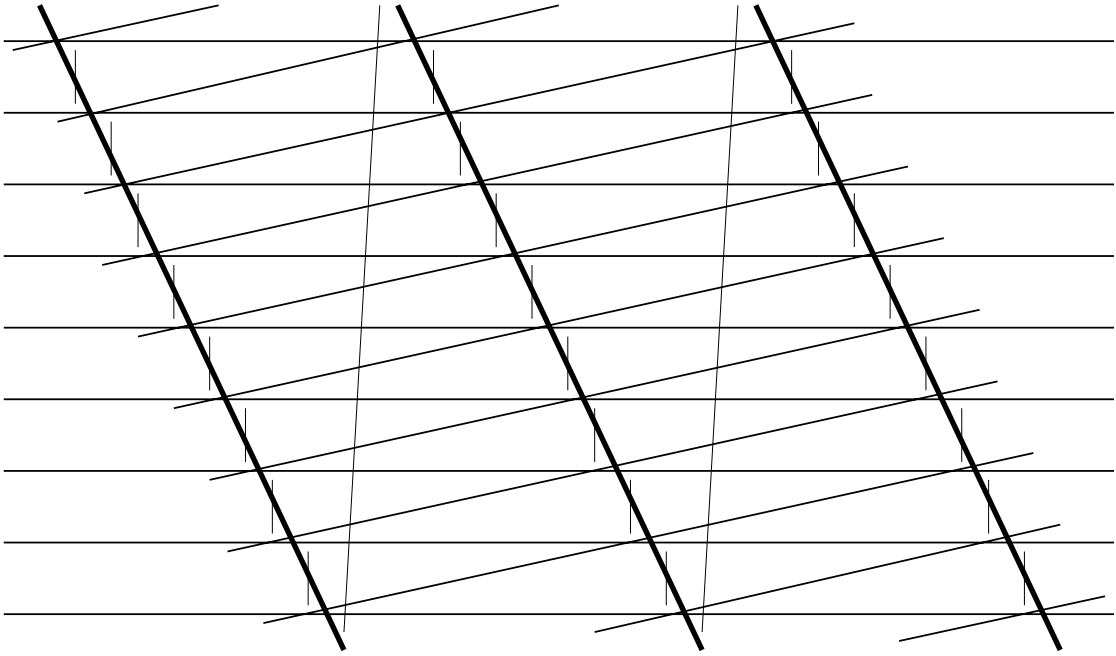
Arrangement of lines with four slopes which admits a monotone path of length  $\Omega(n^{3/2})$ . It consists of:  $m$  bundles of  $m$  horizontal lines each;  $m$  bundles of  $m$  lines each at (say)  $60^\circ$ ;  $m(m-1)$  near vertical parallel lines of positive slope, and  $m-1$  near vertical parallel lines of negative slope.

$n = \Theta(m^2)$ ; monotone path of length  $\Omega(m^3)$ ;  
 $m = 3$  in this example.

## Five slopes

Arrangement of lines with four slopes which admits a monotone path of length  $\Omega(n^{5/3})$ . It consists of:  $m^2$  bundles of  $m$  horizontal lines each;  $m$  bundles of  $m^2$  lines each (descending);  $m^2 + m - 1$  bundles of  $m - 1$  lines each (ascending);  $m(m^2 - 1)$  nearly vertical lines of negative slope;  $m - 1$  nearly vertical lines of positive slope.

$n = \Theta(m^3)$ ; monotone path of length  $\Omega(m^5)$ ;  
 $m = 3$  in this example.



## Notation

$p$  = monotone path

$l(p)$  = the length of  $p$

$t(p)$  = the number of turns of  $p = l(p) - 1$ .

slopes:  $1, 2, \dots, k$ .

Consider  $\mathcal{A}_1$ .

$Q(\mathcal{A}_1, p)$  = the set of cells of  $\mathcal{A}_1$  which are visited by  $p$  and in which  $p$  turns.

$l_c$  = the length of the portion of  $p$  inside a cell  $c \in Q(\mathcal{A}_1, p)$ .

$p'$  = *monotone shortcut path* in  $\mathcal{A}_1$  (or  $\mathcal{A}_{1,k}$ ).

Similar:

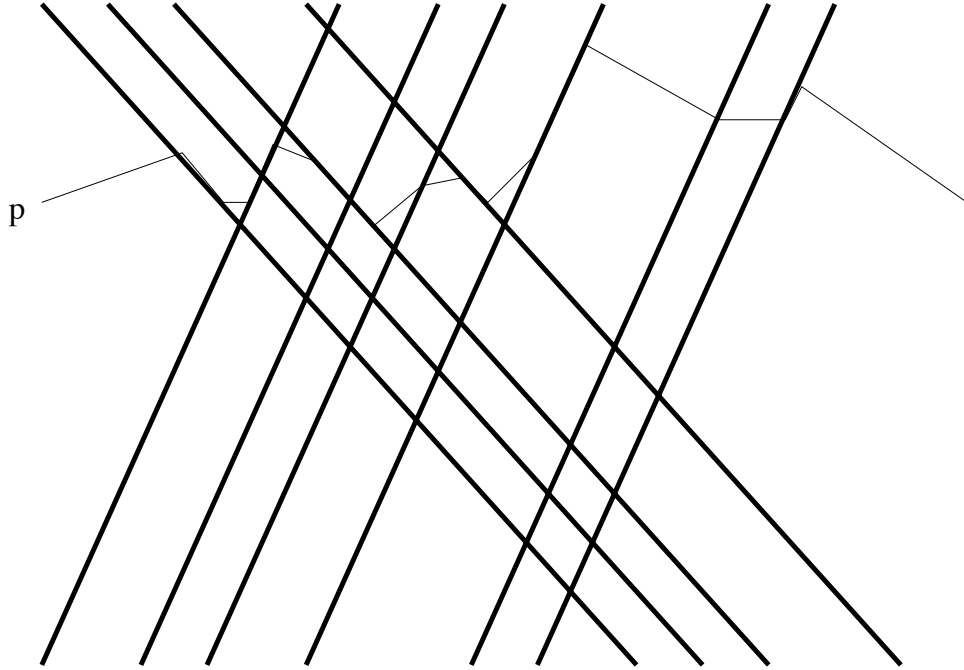
Consider  $\mathcal{A}_{1,k}$ .

A cell of  $\mathcal{A}_{1,k}$  is said to be *visited* by  $p$  if  $p$  intersects its interior.

$Q(\mathcal{A}_{1,k}, p)$  = the set of cells of  $\mathcal{A}_{1,k}$  which are visited by  $p$  and in which  $p$  turns.

$l_c$  = the length of the portion of  $p$  inside a cell  $c \in Q(\mathcal{A}_{1,k}, p)$ .





Arrangement  $\mathcal{A}_{1,k}$  of lines of minimum and maximum slope; none of these can be revisited by  $p$ .

$$l(p) \leq l(p') + \sum_{c \in Q(\mathcal{A}_{1,k}, p)} (l_c - 1). \quad (2)$$

$$t(p) \leq t(p') + \sum_{c \in Q(\mathcal{A}_{1,k}, p)} t_c.$$

**Lemma 1** *Let  $p'$  and  $p''$  be shortcut monotone paths in the arrangements  $\mathcal{A}_1$  and  $\mathcal{A}_{1,k}$ , respectively. Put  $q' = |Q(\mathcal{A}_1, p')|$  and  $q'' = |Q(\mathcal{A}_{1,k}, p'')|$ . Then*

$$(i) \ l(p') \leq 2n_1 + 1 \text{ and } q' \leq n_1 + 1.$$

$$(ii) \ l(p'') \leq 2n_1 + 2n_k + 1 \text{ and } q'' \leq n_1 + n_k + 1.$$

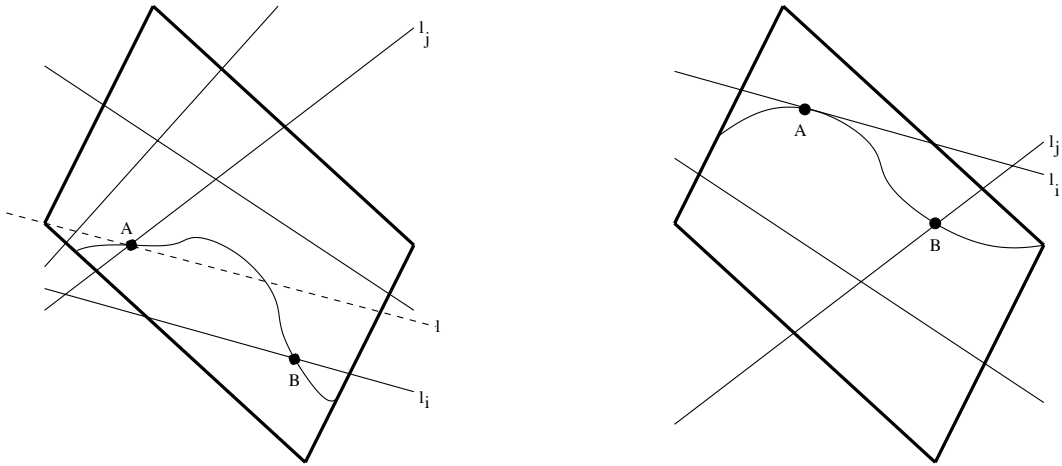
Or:

$$t(p') \leq 2n_1$$

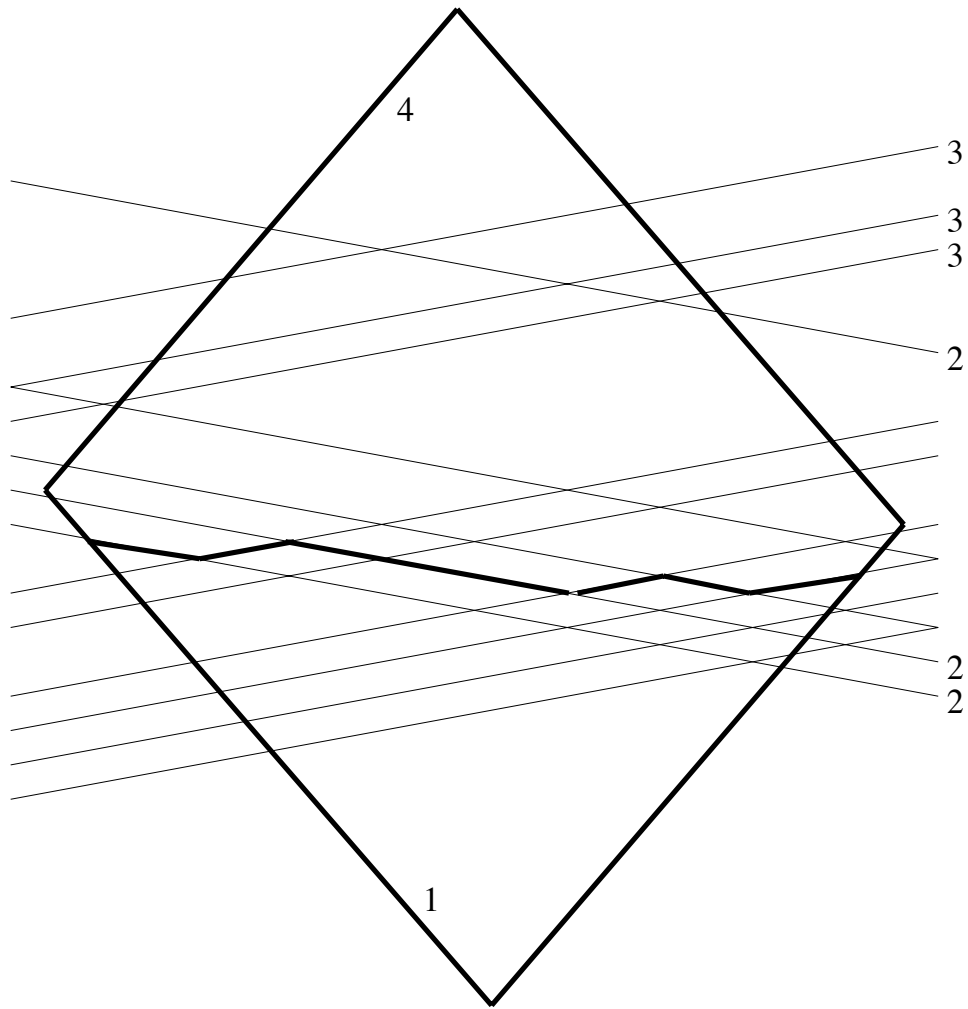
$$t(p'') \leq 2n_1 + 2n_k$$



**Lemma 2** Consider an arrangement  $\mathcal{A} = \mathcal{A}_{1,\dots,k}$  of  $n$  lines having  $k$  distinct slopes ( $k \geq 4$ ), and let  $p$  be a monotone path in  $\mathcal{A}$ . Let  $c$  be a convex cell  $c \in Q(\mathcal{A}_{1,k}, p)$ , and let  $p_c$  be the portion of  $p$  which lies in the interior of  $c$ . Assume that  $l_i$  and  $l_j$  are two lines of minimum and maximum slope respectively, which intersect  $p_c$ . Then  $l_i$  and  $l_j$  intersect in the interior of  $c$ .



A cell  $c \in Q(\mathcal{A}_{1,\dots,k}, p)$ , and the portion  $p_c$  of  $p$  which lies in the interior of  $c$ .



A cell  $c \in Q(\mathcal{A}_{1,4}, p)$ , and the portion  $p_c$  of  $p$  which lies in the interior of  $c$ . All the  $3 \times 4 = 12$  vertices of the arrangement of the lines in  $L_2 \cup L_3$  are in the interior of  $c$ .

**Lemma 3** *Let  $m \geq 1$  be the number of vertices in a line arrangement  $\mathcal{A}$  of  $n$  lines having two distinct slopes, and let  $p$  be a monotone path in  $\mathcal{A}$ . Then  $l(p) \leq \min(n, 2\sqrt{m} + 1)$ . Further on, consider an arrangement of  $n$  lines having four distinct slopes, a convex cell  $c \in Q(\mathcal{A}_{1,4}, p)$ , and the portion  $p_c$  of  $p$  which lies in the interior of  $c$ . Then  $l(p_c) \leq 2\sqrt{m_c} + 1 \leq 3\sqrt{m_c}$ , where  $m_c$  is the number of vertices of  $\mathcal{A}_{2,3}$  in the interior of  $c$ .*

General idea: bound the length in terms of the number of vertices.

## Proof of Lemma 3

First part:

$$n = n_1 + n_2, \text{ w.l.o.g. } n_1 \leq n_2.$$

$$n \geq 2n_1 \text{ and } m = n_1n_2 \geq n_1^2.$$

$$t(p) \leq 2n_1 \leq n.$$

$$l(p) \leq 2n_1 + 1 \leq n + 1.$$

In fact,  $l(p) \leq n$ .

Also,  $n_1 \leq \sqrt{m}$ , so

$$l(p) \leq 2n_1 + 1 \leq 2\sqrt{m} + 1.$$

Second part:

$p_c$  = contiguous portion of a monotone path in  $\mathcal{A}_{2,3}$  which lies in  $c$ .

let  $L_2$  (resp.  $L_3$ ) be the set lines of slope 2 (resp. 3) which intersect  $p_c$ . Since  $c \in Q(\mathcal{A}_{1,4}, p)$ ,  $|L_2|, |L_3| \geq 1$ .

By the convexity of  $c$  and the ordering of the slopes (Lemma 2 for  $k = 4$ ), all the  $|L_2| \cdot |L_3|$  vertices of the arrangement of the lines in  $L_2 \cup L_3$  are in the interior of  $c$ . Thus  $m_c \geq |L_2| \cdot |L_3|$ . Assuming  $|L_2| \leq |L_3|$ , we have  $m_c \geq |L_2|^2$ . Thus  $l(p_c) \leq 2|L_2| + 1 \leq 2\sqrt{m_c} + 1 \leq 3\sqrt{m_c}$ .

**Corollary 1**  $L_4(n) = O(n^{2-\frac{1}{F_2}}) = O(n^{3/2})$ .

**Proof.**

Consider  $\mathcal{A}_{1,4}$  and use (2). By Lemma 1(ii),

$l(p') \leq 2n_1 + 2n_4 + 1 \leq 2n + 1$ , and

$q = |Q(\mathcal{A}_{1,4}, p)| \leq n_1 + n_4 + 1 \leq n + 1$ .

$$\begin{aligned} l(p) &\leq 2n + 1 + \sum_{c \in Q(\mathcal{A}_{1,4}, p)} (l_c - 1) \\ &\leq 2n + 1 + 2 \sum_{c \in Q(\mathcal{A}_{1,4}, p)} \sqrt{m_c}. \end{aligned}$$

By Jensen's inequality

$$\begin{aligned} l(p) &\leq 2n + 1 + 2q \sqrt{\frac{\binom{n}{2}}{q}} \\ &\leq 2n + 1 + 2\sqrt{n+1} \sqrt{\binom{n}{2}} = O(n^{3/2}). \end{aligned}$$

□

**Lemma 4** *Let  $m \geq 1$  be the number of vertices in a line arrangement  $\mathcal{A}$  of  $n$  lines having three distinct slopes, and let  $p$  be a monotone path in  $\mathcal{A}$ . Then  $l(p) \leq \min(2n_1 + 2n_3 + 1, 6m^{2/3})$ . Further on, consider an arrangement of  $n$  lines having five distinct slopes, a convex cell  $c \in Q(\mathcal{A}_{1,5}, p)$ , and the portion  $p_c$  of  $p$  which lies in the interior of  $c$ . Then  $l(p_c) \leq 6m_c^{2/3}$ , where  $m_c$  is the number of vertices of  $\mathcal{A}_{2,3,4}$  in the interior of  $c$ .*

Obs. More slopes give a weaker bound.

**Lemma 5** *Let  $k \geq 2$ . Let  $m \geq 1$  be the number of vertices in a line arrangement  $\mathcal{A}$  of  $n$  lines having  $k$  distinct slopes, and let  $p$  be a monotone path in  $\mathcal{A}$ . Then*

$$l(p) \leq c_k \cdot m^{1 - \frac{1}{F_k}},$$

where

$$c_k = 5 \cdot k \cdot 3^{\sum_{i=2}^{k-1} \frac{1}{F_i}},$$

and  $F_k$  is the  $k$ -th Fibonacci number. Further on, consider an arrangement of  $n$  lines having  $k + 2$  distinct slopes, a convex cell  $c \in Q(\mathcal{A}_{1,k+2}, p)$ , and the portion  $p_c$  of  $p$  which lies in the interior of  $c$ . Then

$$l(p_c) \leq c_k \cdot m_c^{1 - \frac{1}{F_k}},$$

where  $m_c$  is the number of vertices of  $\mathcal{A}_{2,\dots,k+1}$  in the interior of  $c$ .

**Corollary 2** For any  $k \geq 4$ ,  
 $L_k(n) \leq 25 \cdot k \cdot n^{2 - \frac{1}{F_{k-2}}}$ , where  $F_k$  is the  $k$ -th  
Fibonacci number.

**Proof.**

Let  $m \leq \binom{n}{2}$  be the number of vertices of  $\mathcal{A}$ ,  
and  $p$  be a monotone path in  $\mathcal{A}$ .

$$l(p) \leq (2n + 1) + 5 \cdot 3^{\sum_{i=2}^{\infty} \frac{1}{F_i}} \cdot (k - 2) \cdot (n + 1)^{\frac{1}{F_{k-2}}} \cdot \left(\frac{n^2}{2}\right)^{1 - \frac{1}{F_{k-2}}}.$$

$$\sum_{i=2}^{\infty} \frac{1}{F_i} \leq 1.43.$$

$$\begin{aligned} l(p) &\leq 3n + 25 \cdot (k - 2) \cdot \frac{2^{\frac{1}{F_{k-2}}}}{2^{1 - \frac{1}{F_{k-2}}}} \cdot n^{\frac{1}{F_{k-2}}} \cdot n^{2 - \frac{2}{F_{k-2}}} \\ &\leq 25 \cdot k \cdot n^{2 - \frac{1}{F_{k-2}}}. \end{aligned}$$

□



**Corollary 3** *There exists an absolute constant  $C > 0$ , so that if  $k \leq C \log \log n$ , then  $L_k(n) = o(n^2)$ .*

**Proof.**  $F_k \leq 2^k$ . □