

# MSRI LECTURES ON PSEUDODIFFERENTIAL OPERATORS

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ABSTRACT. Rough notes for lectures at the MSRI introductory workshop in Fall 2019.

- Lecture 2 culminated in elliptic theory of two varieties:
  - Scattering,  $\Psi^{m,l}$ ; prototype  $\Delta + 1 \in \Psi^{2,0}$ , weighted Sobolev spaces
  - Compact manifolds,  $\Psi^m$ ; prototype  $\Delta \in \Psi^2$ , Sobolev spaces
- Fredholm between appropriate spaces  $P : H^s \rightarrow H^{s-m}$
- Follows from estimates:  $P \in \Psi^m(M)$  is elliptic if and only if  $P^* \in \Psi^m(M)$  is elliptic

$$\|u\|_{H^s} \leq C(\|Pu\|_{H^{s-m}} + \|u\|_{H^{-N}}) \quad (1)$$

where  $N$  is anything and

$$\|v\|_{H^s} \leq C(\|P^*v\|_{H^{s'-m}} + \|v\|_{H^{-N}}) \quad (2)$$

where  $s' = -(s - m)$

- **Principal symbol:**  $\sigma_m(P)$ 
  - We'll assume  $\sigma_m(P)$  has a homogeneous of degree  $m$  (with respect to dilations in  $\xi$ ) representative,  $p$  a function on  $T^*M \setminus 0$  where 0 is the zero section.
- $\Psi$ DOs can be used to localize in  $T^*M$ , phase space.
- Define  $S^*M = (T^*M \setminus 0)/\mathbb{R}^+$ ;  $(x, \xi, t) \mapsto (x, t\xi)$
- We care about behavior at infinity, so we compactify the fibers
- $T_z^*M \cong \mathbb{R}^n \hookrightarrow \overline{\mathbb{R}^n}$  by gluing in a sphere at  $\infty$ .
- $\xi = \rho^{-1}\omega$ ;  $\rho = |\xi|^{-1}$ ,  $\omega \in S^{n-1}$  and identify  $\mathbb{R}^n - \{0\}$  with  $(0, \infty)_\rho \times S^{n-1}$ 
  - Observe that  $\rho \rightarrow 0$  is equivalent to  $|\xi| \rightarrow \infty$
- Add in a sphere at  $\rho = 0$ ;  $\overline{\mathbb{R}^n} = \mathbb{R}^n \sqcup [0, \infty)_\rho \times S^{n-1} / \sim$  where  $(\rho, \omega) \sim \rho^{-1}\omega$
- Then  $T^*M \hookrightarrow \overline{T^*}M$ , the fiber compactified version and  $S^*M \cong \partial\overline{T^*}M$
- Given  $A \in \Psi^0(M)$ , think of  $\sigma_0(A) = a$  as a function on  $\partial\overline{T^*}M$ .
- Given  $\alpha \in \partial\overline{T^*}M$ ,  $A$  “microlocalizes” to a neighborhood of  $\alpha$ .

**Definition 0.1.**  $\alpha \notin WF(u)$  if  $\exists A \in \Psi^0(M)$  elliptic at  $\alpha$  (i.e.,  $a = \sigma_0(A)$  is nonzero) s.t.  $Au \in C^\infty$ . We say  $\alpha \notin WF^s(u)$  if  $\exists A \in \Psi$  elliptic at  $\alpha$  such that  $Au \in H^s$ .

**Proposition 0.2.** *If  $\alpha \notin WF(u)$ , then  $\exists U$  a neighborhood of  $\alpha$  s.t. if  $B \in \Psi^0$  with  $WF'(B) \subset U$ , then  $Bu \in H^s$ .*

- What's  $WF'$ ? Answer in context of  $\mathbb{R}^n$  and Kohn-Nirenberg version
- $\xi \neq 0$ ,  $(x, \xi) \notin WF'(Op_0(b))$  if  $\exists$  open cone  $\Gamma$  such that  $b \in S^{-\infty}$  in  $\Gamma$  (given by a Schwartz symbol)
- In compactified version, a cone is just a neighborhood.
- What if  $P \in \Psi^m$  is not elliptic? That is, the principal symbol  $p$  vanishes somewhere; least nondegenerately ( $p = 0$  at a point  $\implies dp \neq 0$  at that point)
- Today:  $p$  is real;  $\sigma_m(p - p^*) = p - p^* = 0 \implies p - p^* \in \Psi^{m-1}$  when operators are self-adjoint
- Basic phenomenon: propagation of estimates within  $\Sigma = p^{-1}(\{0\})$ .

**Definition 0.3.** *Bicharacteristics are integral curves of  $H_p$ , the Hamiltonian vector field,  $H_p b = \{p, b\} = \sum_j (\frac{\partial p}{\partial \xi_j} \frac{\partial b}{\partial x_j} - \frac{\partial p}{\partial x_j} \frac{\partial b}{\partial \xi_j})$  inside  $\Sigma$ ; this is an exciting place.*

- In general,  $H_p$  is homogeneous of degree  $m - 1$
- Simple computation shows that  $H_p$  extends to a  $C^\infty$  vectorfield up to  $\partial \bar{T}^* M$  which is tangent to  $\partial \bar{T}^* M$ .
- $WF(u)$  propagates along bicharacteristics

$$\|B_1 u\|_s \leq C(\|B_2 u\|_s + \|B_3 P u\|_{s-m+1} + \|u\|_{-N}) \quad (3)$$

**Theorem 1.** *(Hörmander)  $WF^s(u) \setminus WF^{s-m+1}(Pu)$  is a union of maximally extended bicharacteristics in  $\Sigma$ . Outside of  $\Sigma$ ,  $\alpha \notin WF^{s-m}(Pu)$  implies  $\alpha \notin WF^s(u)$ .*

- Radial points at which  $H_p$  is a multiple of generators of dilations  $\sum \xi_j \frac{\partial}{\partial \xi_j}$
- Compactified perspective:  $H_p$  vanishes means  $\sum \xi_j \frac{\partial}{\partial \xi_j} = -\rho \frac{\partial}{\partial \rho}$
- Nicest situation:  $H_p$  flow has a source/sink structure
- At radial sources/sinks there are versions of propagation estimates
- There is a threshold quantity  $s_0 (= \frac{m-1}{2}$  if  $p - p^* \in \Psi^{m-2}$ ) s.t.  $s > s_0$  implies (3) holds even without  $B_2$  term and  $s < s_0$  implies (3) holds with  $WF'(B_2)$  in a punctured neighborhood of a radial set.
- Consequence: for such a flow starting at a region of high regularity, we propagate them to a punctured neighborhood of other radial sets and then into it: provided the inequality for  $s_0$  is satisfied
- May need variable order/anisotropic Sobolev spaces
- An upshot of this is that  $\|u\|_s \leq C(\|Pu\|_{s-m+1} + \|u\|_{-N})$  and similar estimate for the adjoint implies Fredholm theory applies
- Positive commutator estimates:

$$\langle Pu, Au \rangle - \langle Au, Pu \rangle = \langle (AP - P^*A)u, u \rangle = \langle ([A, P] + (P - P^*)A)u, u \rangle \quad (4)$$

which implies  $\sigma_{m+m'-1}(i([A, P] + (P - P^*)A)) = -H_p a - 2\tilde{p}a$ , where  $H_p a$  has a definite sign